

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(#3)

In re application of:

**Wolfram Schindler et al.**

Serial No.: Unknown  
(U.S. National Phase of PCT/EP02/14567)

Filed: Filed Herewith

For: CROSS-LINKABLE POLYMER BLENDS  
CONTAINING ALKOXYSILANE-TERMINATED POLYMERS

Attorney Docket No.: WAS0643PUSA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)(1)**

Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

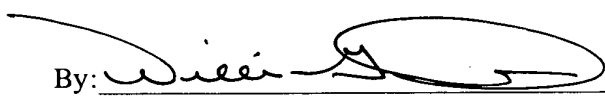
Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

If applicable, a copy of each reference listed on the attached Form PTO/SB08B or any foreign cited art is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

**Wolfram Schindler et al.**

Date: July 12, 2004

By:   
William G. Conger, Reg. No. 31,209  
Attorney/Agent for Applicant

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
WOLFRAM SCHINDLER ET AL. :  
Serial Number : Group Art Unit:  
Filed: :  
For: CROSS-LINKABLE POLYMER BLENDS CONTAINING ALKOXYSILANE-  
TERMINATED POLYMERS:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

EP-A 269819 is already in English language.

EP-A 931800 is already in English language.

WO 00/37533 is already in English language.

US-A 3,971,751 is already in English language.

For DE-A 19849817 an English Derwent Abstract is enclosed.

WO-A 96/34030 is already in English language.

US-A 5,254,657 is already in English language.

10/501214

DT04 Rec'd PCT/PTO 12 JUL 2004

For DE-A 2543966 an English Derwent Abstract is enclosed.

For DE-A 2445220 an English Derwent Abstract is enclosed.

EP-A 931800 is already in English language.

Signed this 2<sup>nd</sup> day of July, 2004.

  
.....  
Dr. Helmut Fritz

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**Examiner  
Signature**

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

Substitute for Form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/501214
				Filing Date	Filed Herewith
				First Named Inventor	Wolfram Schindler et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	WAS0643PUSA
<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		English Derwent Abstract corresponding to DE 198 49817 [AN 2000-351610]			
		English Derwent Abstract corresponding to DE 254 3966 [AN 1977-25423Y]			
		English Derwent Abstract corresponding to DE 244 5220 [AN 1976-26426X]			

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.